



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Gene Stellan et al.

Examiner: Mathew F. DeSanto

Serial No.: 09/981,178

Group: Art Unit 3763

Filed: October 16, 2001

Docket: 203-2519 DIV (2334DIV)

For: TROCAR SYSTEM AND  
METHOD OF USE

Dated: February 15, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

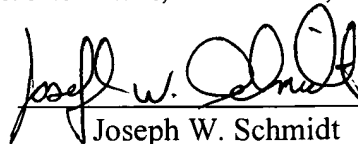
Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO/SB/08a is brought to the attention of the Examiner. A copy of the foreign reference is enclosed.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. '1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 15, 2007.

Dated: February 15, 2007

  
Joseph W. Schmidt

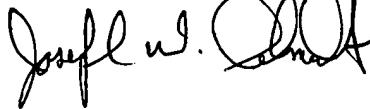
The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible. The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. ' 1.56(b).

- ☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.
- ☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. ' 1.491 in an international application.
- ☐ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- ☐ Enclosed herewith is a certificate under 37 C.F.R. ' 1.97(e)(1).
- ☐ Enclosed herewith is a petition under 37 C.F.R. ' 1.97(d)(ii).
  - ☐ Enclosed by check is the petition fee of \$130.00. (37 C.F.R. ' 1.17(i)(1))
  - ☐ Please charge the \$130.00 petition fee to Deposit Account No. 50-2140.
- ☒ Enclosed by check is the \$180.00 fee required by 37 C.F.R. ' 1.17(p).
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The PTO did not receive the following  
listed item(s) check for \$180.00

- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. ' 1.16 and/or ' 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 50-2140. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. ' 1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 50-2140 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,



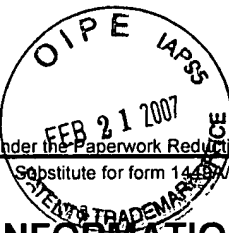
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/981,178
Filing Date	October 16, 2001
First Named Inventor	Gene Stellan et al.
Art Unit	3763
Examiner Name	Mathew F. DeSanto
Attorney Docket Number	2334 DIV (203-2519 DIV)

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5,215,526	06/01/1993	Deniega et al.	
		US-5,248,298	09/28/1993	Bedi et al.	
		US-5,263,937	11/23/1993	Shipp	
		US-5,338,305	08/16/1994	Plyley et al.	
		US-5,350,393	09/27/1994	Yoon	
		US-5,364,372	11/15/1994	Danks et al.	
		US-5,370,625	12/06/1994	Shichman	
		US-5,399,167	03/21/1995	Deniega	
		US-5,431,635	07/11/1995	Yoon	
		US-5,437,643	08/01/1995	Transue	
		US-5,441,513	08/15/1995	Roth	
		US-5,462,532	10/31/1995	Gresl	
		US-5,549,564	08/27/1996	Yoon	
		US-5,578,053	01/26/1996	Yoon	
		US-5,591,190	01/07/1997	Yoon	
		US-5,626,598	05/06/1997	Roth	
		US-5,645,556	07/08/1997	Yoon	
		US-5,645,557	07/08/1997	Yoon	

**FOREIGN PATENT DOCUMENTS**

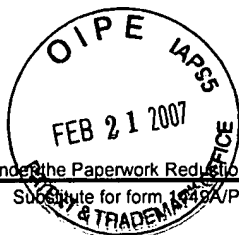
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 02/11605	02/14/2002	United States Surgical		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2

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		Number-Kind Code <sup>2</sup> (if known)			
		US-5,669,885	09/23/1997	Smith	
		US-5,772,660	06/30/1998	Young et al.	
		US-5,827,315	10/27/1998	Yoon	
		US-5,860,996	01/19/1999	Urban et al.	
		US-5,980,493	11/09/1999	Smith et al.	
		US-6,017,356	01/25/2000	Frederick , et al.	
		US-6,036,711	03/14/2000	Mozdzierz et al.	
		US-6,099,544	08/08/2000	Wolf et al.	
		US-6,319,266	11/20/2001	Stellan et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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